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Enclosed for filing is the patent application of Inventor(s):

ENGELBERTUS VAN WILLIGEN

For: BROADCAST NETWORK WITH INTERACTIVE SERVICES

ENCLOSED ARE:

- ☒ Associate Power of Attorney;
☐ Information Disclosure Statement, Form PTO-1449 and copies of documents listed therein;
☒ Preliminary Amendment;
☒ Specification (|| Pages of Specification, Claims, & Abstract);
☒ Declaration and Power of Attorney:
 (1 Pages of a ☐ fully executed ☒ unsigned Declaration);
☒ Drawing (4 sheets of ☐ informal ☒ formal sheets);
☒ Certified copy of **Europe** application Serial No. **98203638.6**;
☒ Other: Authorization Pursuant to 37 CFR 1.136, Related Cases
☐ Assignment to .

FEE COMPUTATION

CLAIMS AS FILED				
FOR	NUMBER FILED	NUMBER EXTRA	RATE	BASIC FEE - 760.00
Total Claims	10- 20 =	0	X \$18 =	0.00
Independent Claims	4 - 3 =	1	X \$78 =	78.00
Multiple Dependent Claims, if any			\$260 =	0.00
TOTAL FILING FEE			=	\$838.00

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☐ Amend the specification by inserting before the first line the sentence: --This is a continuation-in-part of application Serial No. , filed .--.

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of

Atty. Docket

ENGELBERTUS VAN WILLIGEN

PHN 17,166

Serial No.

Filed: CONCURRENTLY

Title: BROADCAST NETWORK WITH INTERACTIVE SERVICES

Commissioner of Patents and Trademarks
Washington, D.C. 20231

APPOINTMENT OF ASSOCIATES

Sir:

The undersigned Attorney of Record hereby revokes all prior appointments (if any) of Associate Attorney(s) or Agent(s) in the above-captioned case and appoints:

GREGORY L. THORNE

(Registration No. 39,398)

c/o U.S. PHILIPS CORPORATION, Intellectual Property Department, 580 White Plains Road, Tarrytown, New York 10591, his Associate Attorney(s)/Agent(s) with all the usual powers to prosecute the above-identified application and any division or continuation thereof, to make alterations and amendments therein, and to transact all business in the Patent and Trademark Office connected therewith.

ALL CORRESPONDENCE CONCERNING THIS APPLICATION AND THE LETTERS PATENT WHEN GRANTED SHOULD BE ADDRESSED TO THE UNDERSIGNED ATTORNEY OF RECORD.

Respectfully,


Jack E. Haken, Reg. 26,902
Attorney of Record

Dated at Tarrytown, New York
this 27th day of October, 1999.

In re Application of
ENGELBERTUS VAN WILLIGEN

PHN 17,166

October 26, 1999

Page 1, above line 1, insert the heading:
 --BACKGROUND OF THE INVENTION--;

 above line 28, insert the heading:
 --SUMMARY OF THE INVENTION--;

Page 2, above line 33, insert the heading:
 --BRIEF DESCRIPTION OF THE DRAWINGS--;

Page 3, above line 11, insert the heading:
 --DETAILED DESCRIPTION OF THE PREFERRED EMBODIMENTS--.

IN THE CLAIMS

Claim 2, line 1, change ", characterized in that" to
--wherein--.

Claim 3, line 1, change ", characterized in that" to
--wherein--.

Claim 4, line 1, change "or 3, characterized in that" to
--wherein--.

Claim 5, line 2, change "characterized in that" to
--wherein--.

Claim 6, line 2, change "characterized in that" to
--wherein--.

Claim 7, line 2, change "characterized in that" to
--wherein--.

Claim 8, line 1, change ", characterized in that" to
--wherein--.

Claim 9, line 1, change ", characterized in that" to
--wherein--.

Claim 10, line 1, change "or 9, characterized in that" to
--wherein--.

IN THE ABSTRACT

Page 11, last line, delete "Fig. 1".

REMARKS

The specification and claims have been amended to correct informalities in language and grammar, to add headings in accordance with MPEP Section 601, and to delete multiple dependencies.

The above amendments are submitted to place this application in proper U.S. format. Entry of the amendment and an early action on the merits are solicited.

Respectfully submitted,

By 

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Broadcast network with interactive services.

The present invention relates to a broadcast network comprising an information server coupled to a plurality of subscriber stations for transmitting broadcast signals to the subscriber stations, the broadcast network further comprises a return channel for transmitting information from the subscriber terminal to an head-end, the broadcast network further
5 comprises authentication means for authorizing the access of the subscriber terminal to interactive services

The present invention also relates to a subscriber terminal, a gateway and a method.

A broadcast network according to the preamble is known from ETS 300802,
10 Digital Video Broadcasting (DVB); Network independent protocols for DVB interactive services, EBU/CENELEC/ETSI-JTC, November 1997.

Presently, interactive services are introduced in several types of broadcast networks, such as DVB-Satellite, DVB-Cable and DVB-Terrestrial. For enabling these interactive services, a return channel has introduced in order to transmit information from a
15 subscriber terminal to a head-end. The information server can be present in the head end, but it may also a remote server which is connected to the head-end. The subscriber terminal can e.g. be a set top box or a cable modem. The return channel can be a connection via the Public Switched telephone Network, via a Cable return channel or even a satellite return channel.

An operator of the information server requires reliable authentication,
20 authorization and subsequent billing of users using the information server. In present systems this authorization is done using the Point to Point Protocol (PPP) which is described in RFC 1661 and RFC 1994. PPP is not suitable for providing for authentication and authorization for different services, because PPP only provides for authentication and authorization of a communication link.

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The object of the present invention is to provide a broadcast network according to the preamble in which authorization and authentication for multiple services is possible.

To achieve said objective, the broadcast network according to the present invention is characterized in that the subscriber terminal comprises authorization transmitting means for transmitting authorization request messages to an authorization server, the authorization server being arranged for checking the entitlement of the subscriber to services to be provided by the information server, and in that the authorization server is arranged for enabling the subscriber to access said services.

By introducing the authorization transmitting means in the subscriber terminal and adding authorization server to which request messages can be sent. The authentication server then requests an authentication message from the subscriber terminal. After the identity of the subscriber and the entitlement to the requested service has been verified, the authorization server enables the use of said services by said subscriber terminal. The above process can be carried out for each different service.

It is observed that in RFC 2138 the so-called radius protocol is disclosed. In this protocol, the authorization transmitting means are not included in the subscriber terminal, but they are present in the server to which a subscriber logs on for accessing the service.

An embodiment of the present invention is characterized in that that the information server is coupled to the subscriber terminals via a gateway, and in that the authorization server is arranged for enabling the subscriber to access said services by transmitting a message to the gateway to grant said subscriber access to said services.

An easy way of enabling access to the services is to sent a command message to a gateway informing the gateway that certain services for the authorized subscriber stations have to be passed. This gateway can be present in the head end.

A further embodiment of the invention is characterized in that said message comprises information about at least one source IP address from which IP packets are passed to the subscriber station.

A suitable way to enable services is to inform the gateway that IP packets having a particular destination address have to be passed to the subscriber terminal which has requested. In some systems it will also be needed that IP packets from the subscriber terminal is passed to a host with a particular destination address. This destination address may be the same as the source address, but this is not a necessity.

The present invention will now be explained with reference to the drawings.

Fig. 1 shows a block diagram of the communication network according to the invention.

Fig. 2 shows a protocol stack to be used in a subscriber terminal according to the invention.

5 Fig. 3 show a flow diagram of a successful attempt of a subscriber terminal to access services provided by the information server 30.

Fig. 4 show a flow diagram of a failing attempt of a subscriber terminal to access services provided by the information server 30.

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In the broadcast network according to Fig. 1, a subscriber terminal 2, which can be a set top box (STB) or a cable modem, is connected via two logical channels 3 and 4 of a Hybrid Fiber Coax network to a gateway 14 (Broadcast Network adapter) and to a gateway 12 (Interaction Network Adapter).

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The first logical channel is an unidirectional broadcast channel, which is part of a Broadcasting Delivery Network 8, carries video, audio and data. The second logical channel is a bi-directional interaction channel, which is part of an Interaction Network 10 This second logical channel is intended for interaction purposes. It is formed by a return interaction path and a forward interaction path. The forward interaction path maybe embedded into the broadcast channel. It is possible that the forward path of the interaction channel is not required in some simple implementations that make only use of the broadcast channel for carrying data. Physically, the broadcasting delivery network and interaction network are laid over one HFC network i.e. the STB has one RF connection to the HFC network.

20

The Interaction Network Adapter (INA) 12 implements the functionality of a Network Access Server (NAS) for allowing the subscriber terminal 12 (STB or Cable Modem) to access an IP network 16 over the HFC network 8. It embeds the interactive (IP) data in the interaction channel 3. The Broadcast Network Adapter (BNA) 14 embeds the (IP) data in the broadcast channel 4.

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According to the present invention authorization servers 18, 20 and 22 are connected to the IP network 16. These authorization servers will further to be referred to as RADIUS servers, because they operate according to the RADIUS protocol (RFC 2138). The subscriber terminal 2 transmits an authorization request message via the INA 12 to one of the RADIUS servers 18, 20 and 22. These RADIUS servers transmit an access challenge message to the subscriber terminal 2 in order to check the identity of the subscriber terminal. In

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response to said access challenge message, the subscriber terminal 2 transmits a second access request message which carries a response to the challenge message to authenticate itself. If the information carried by the second access request message is regarded as correct, the RADIUS server submits configuration information to the INA (or BNA) necessary to deliver the service to the STB in question.

Optionally, a RADIUS server can act as a proxy client to other RADIUS servers or other kinds of authentication servers. This can be seen in Fig. 1, by the connection of further radius servers 24, 26 and 26 to the radius server 18.

As explained above, authentication, authorization and accounting is based on the RADIUS protocol as this is the standard for authentication, authorization and accounting within the Internet community. RADIUS supports authentication, authorization and accounting on a per session basis. Furthermore different applications running in parallel are supported, because each application can initiate the transmission of request messages to a RADIUS server. Also different accounting policies e.g. one for web-browsing and another for IP-telephony can be supported, because the RADIUS server can transmit different configuration messages to the INA 12 and/or BNA 14.

Due to the presence of different RADIUS servers, it is possible that each ISP or ASP does its own authentication, authorization and accounting.

The architecture shall allow STB's which doesn't contain functionality for this architecture to enter the cable network. However, security measures shall be taken that they can not access (accountable) services for which they have to be authenticated and authorized. The architecture is secure i.e. users can not circumvent authentication, authorization and accounting e.g. by 'hacking' the STB or cable modem, because all sensitive information can be stored on a secure smart card.

The proposal is to add RADIUS functionality to the Set-Top-Box and Cable Modem i.e. it looks like a RADIUS client is built into the STB or CM. This can be done by adding RADIUS functionality to the STB and CM middle ware.

The STB RADIUS client present in the subscriber terminal 2, communicates with a RADIUS proxy inside the INA 12 which on its turn communicates with the RADIUS server 18, 20 or 22 of the ISP or ASP in question. The INA 12 includes an IP filter and functionality for accounting.

The subscriber terminal 2 uses a SmartCard which contains for each user an ID, password and a CHAP secrecy. At set-up of the connection, this information is used to authenticate and authorize the subscriber terminal 2 by the RADIUS server 18, 20 or 22.

5 The INA 12 contains a RADIUS security module for securing the connection with the RADIUS server. The RADIUS security module is used to add to each RADIUS message a cryptographic message digest of the payload of the message, so that the RADIUS server 18, 20 or 22 can verify that the message is generated by an authorized INA and not tampered with.

10 The data base of the RADIUS server contains for each subscriber terminal, the ID, password and CHAP security data stored on the SmartCard. Furthermore, it contains for each INA the RADIUS security data for authenticating the RADIUS messages coming from the INA in question and vice versa.

15 The RADIUS client inside the STB implements all necessary functionality for RADIUS authentication, authorization and accounting. It is capable of generating and handling all RADIUS messages i.e. Access-Request, Access-Accept, Access-Reject, Access-Challenge and the accounting messages: Accounting-Request and Accounting-Response plus the necessary message attributes.

RADIUS messages will only be exchanged on the Interaction Channel between the STB and INA.

20 The subscriber terminal 2 knows the IP address of the RADIUS server, it needs for authorization, authentication and accounting. If this is not the case, the STB uses the IP broadcast address: 255.255.255.255 and the RADIUS proxy of the INA 12 fills in the IP address of the default RADIUS server. (RADIUS uses the well known UDP port number 1812 for RADIUS authentication and authorization and 1813 for RADIUS accounting.) The
25 RADIUS message attribute: 'NAS-Port' can be used to address the different applications running in the subscriber terminal 2.

The RADIUS message attribute: 'NAS-IP-Address' is used to inform the designated RADIUS server of the IP address of the RADIUS client present in the subscriber terminal 2.

30 Fig. 2 shows the protocol stack to be implemented in the subscriber terminal 2. Below the application layer 32, the RADIUS client layer 36 is present. This RADIUS layer is placed on top of the UDP/TCP layers 38 and 40. It can be part of e.g. a MediaHighWay adaptation layer 34. More than one application in the subscriber terminal 2 can make use of the RADIUS functionality, so that for each application a RADIUS session can be executed i.e.

authentication, authorization and accounting can be done. Below the TCP or UDP layer, the IP layer and the MAC layer are present.

In the flowgraph according to Fig. 3, the interactions, needed for authenticating, authorizing and accounting, between the subscriber terminal 2, the INA 12, the RADIUS

5 server are shown.

This sequence is executed after a STB has successfully signed-on, on the MAC layer in step 56. In step 58 the subscriber terminal 2 sends a DHCP request message to the INA 12 in order to obtain an IP address. In step 60, the INA 12 replies by transmitting DHCP reply message containing an IP address to be used by the subscriber terminal 2.

10 In order to access a service, the subscriber terminal 2 sends a RADIUS access request message to the INA 12 in step 62. In step 64 the INA 12 forwards this request message to the appropriate RADIUS server e.g. 18. In response to said RADIUS access request message, the RADIUS server transmits in step 66 a RADIUS challenge message to the INA 12, which passes this message in step 68 to the subscriber terminal 2 to authenticate it. The
15 subscriber terminal 2 replies in step 70 with transmitting (via the INA 12, step 72) a RADIUS access request message containing the RADIUS challenge response to the RADIUS server 18.

The RADIUS server 18 checks the challenge response message and if the challenge response is correct, the RADIUS server 18 replies in step 74 with a RADIUS access accept message. This RADIUS access accept message signals the INA 12 and, in step 76, the
20 subscriber terminal 2 that this subscriber terminal 2 is given access to the requested service.

Before the requested service is started, the subscriber terminal 2 sends in steps 78 and 80 a RADIUS accounting request message via the INA 12 to the RADIUS server 18 in order to turn on the accounting for the requested service. The RADIUS server 18 will respond in step 82 by transmitting a RADIUS accounting response message to the INA 12. This
25 message will adjust the IP-filter 84 of the INA 12 in such way that the IP-datagrams of the requested service can be forwarded by the INA 12 to the subscriber terminal. Furthermore, the INA 12 forwards the RADIUS accounting response message to the subscriber terminal 2. In response to the RADIUS accounting response message, the subscriber terminal 2 starts the service.

30 When the requested service has finished, the subscriber terminal 2 transmits in steps 90 and 92 a RADIUS accounting request message to turn off accounting, via the INA 12 to the RADIUS server 18. The RADIUS server 18 responds to the message 92 with an RADIUS accounting response 94 which is transmitted to the INA 12 and which is passed as message 98 to the subscriber terminal. When the RADIUS accounting response is received by

the INA 12 from the RADIUS server 12, the IP-filter is adjusted in such way that the IP datagrams of the service in question is blocked by the INA.

It is observed that the above is only an example of how the interaction between the STB, INA and RADIUS server can be done. Many variations can be introduced on this sequence.

The flowgraph according to Fig. 4 shows the interactions between the subscriber terminal 2, the INA 12 and the RADIUS server 18 for the case that the subscriber terminal 2 is rejected:

The steps up to and including step 72 are exactly the same as is shown in Fig. 3. When the challenge response transmitted by the subscriber terminal is not correct, the RADIUS server replies with a RADIUS access reject message 100 which is transmitted to the INA 12 and passed to the subscriber terminal 2 to signal the INA 12 and the subscriber terminal 2 is not allowed to access the service.

After some time the subscriber terminal 2 to enter the network again. This can be handy for the case that the access permissions of the STB has changed in the meantime. For example, the user has called the service center of the access service provider to update its permissions. This repeated re-tries are indicated in Fig. 4 by the "Do" and "While Not Accepted" blocks 106 and 104. As long as access of the subscriber terminal 2 is not authorized, The IP-filter inside the INA will block passing information from the information provider 30 to the subscriber terminal 2. Also passing of information from the subscriber terminal 2 to the information provider 30 is blocked.

With PPP, only the PPP 'pipe' (i.e. connection) is authenticated and authorized. Furthermore, the accounting used in PPP is the same for all types of services i.e. it can not support different accounting policies for different services. In the direct-IP architecture, the authentication, authorization and accounting can be done on a per service basis.

By using an IP-filter and by doing accounting outside the STB, the proposed architecture is expected to be secure i.e. users can not circumvent authentication, authorization and accounting by using an 'phony' STB. The IP-filter will keep out data of unauthorized STB's. The IP-filter will be controlled by the RADIUS messages from the RADIUS server in question.

The proposed architecture can support homogeneous networks with cable modems and STB's from third parties. It is observed that that the proposed architecture also works with PPP

Acronyms

ASP	Access Service Provider.
BNA	Broadcast Network Adapter.
CM	Cable Modem.
CRC	Cable Return Channel.
DVS	Digital Video Systems.
HFC	Hybrid Fiber Coax.
INA	Interactive Network Adapter.
IP	Internet Protocol.
ISP	Internet Service Provider.
MAC	Medium Access Control
RADIUS	Remote Authentication Dial In User Service.
RF	Radio Frequency.
STB	Set Top Box.
TCP	Transfer Control Protocol
UDP	User Datagram Protocol.

CLAIMS:

1. Broadcast network comprising an information server coupled to a plurality of subscriber stations for transmitting broadcast signals to the subscriber stations, the broadcast network further comprises a return channel for transmitting information from the subscriber terminal to an head-end, the broadcast network further comprises authentication means for
5 authorizing the access of the subscriber terminal to interactive services, characterized in that the subscriber terminal comprises authorization transmitting means for transmitting authorization request messages to an authorization server, the authorization server being arranged for checking the entitlement of the subscriber to services to be provided by the information server, and in that the authorization server is arranged for enabling the subscriber
10 to access said services.

2. Broadcast network according to claim 1, characterized in that the information server is coupled to the subscriber terminals via a gateway, and in that the authorization server is arranged for enabling the subscriber to access said services by transmitting a message to the
15 gateway to grant said subscriber access to said services.

3. Broadcast network according to claim 2, characterized in that said message comprises information about at least one source IP address from which IP packets are passed to the subscriber station.

4. Broadcast network according to claim 2 or 3, characterized in that said services are transmitted using IP packets, and in that said message comprises information about at least one destination IP address to which IP packets from the subscriber station are passed.

5. Subscriber station for receiving broadcast signals, said subscriber stations being arranged for transmitting information via a return channel to a head-end, characterized in that the subscriber terminal comprises authorization transmitting means for transmitting authorization request messages to an authorization server, the subscriber further being arranged for receiving authorization messages from the authorization server, and in that the

subscriber station is arranged for requesting services from the head-end after receiving a positive authorization message.

6. Gateway for passing information from an information server to at least one subscriber terminal, characterized in that the gateway is arranged for passing authorization request messages from the subscriber terminal to an authorization server, and in that the gateway is arranged for enabling the subscriber to access said services in response to an authorization message received from the authorization server.

7. Method comprising transmitting broadcast signals to at least one subscriber station and transmitting information from the subscriber terminal to an head-end, method further comprises authorizing the access of the subscriber terminal to available services, characterized in that the method comprises transmitting authorization request messages by the subscriber terminal to an authorization server, checking the entitlement of the subscriber terminal to services to be provided and in that the method comprises enabling the subscriber to access said services if the subscriber terminal is entitled.

8. Method according to claim 7, characterized in that the method comprises transmitting information to the subscriber terminals via a gateway, and in that the method comprises enabling the subscriber to access said services by transmitting a message to the gateway to grant said subscriber access to said services.

9. Method according to claim 8, characterized in that said message comprises information about at least one source IP address from which IP packets are passed to the subscriber station.

10. Method according to claim 8 or 9, characterized in that said services are transmitted using IP packets, and in that said message comprises information about at least one destination IP address to which IP packets from the subscriber station are passed.

ABSTRACT:

In an interactive broadcast system signals transmitted by a service provider (30) are passed via a gateway (12) to a subscriber terminal (2). In order to gain access to services, the subscriber terminal (2) transmits an authorization request message via the gateway (12) to the RADIUS server (18). This RADIUS server (18) transmits in response to the authorization request message an authorization challenge message to the subscriber terminal (2). In return the subscriber terminal responds to the challenge message with a challenge response. This challenge response is checked by the RADIUS server (18) and if correct, the RADIUS server (18) transmits a message to the gateway to give the subscriber terminal access to the service.

10 Fig. 1

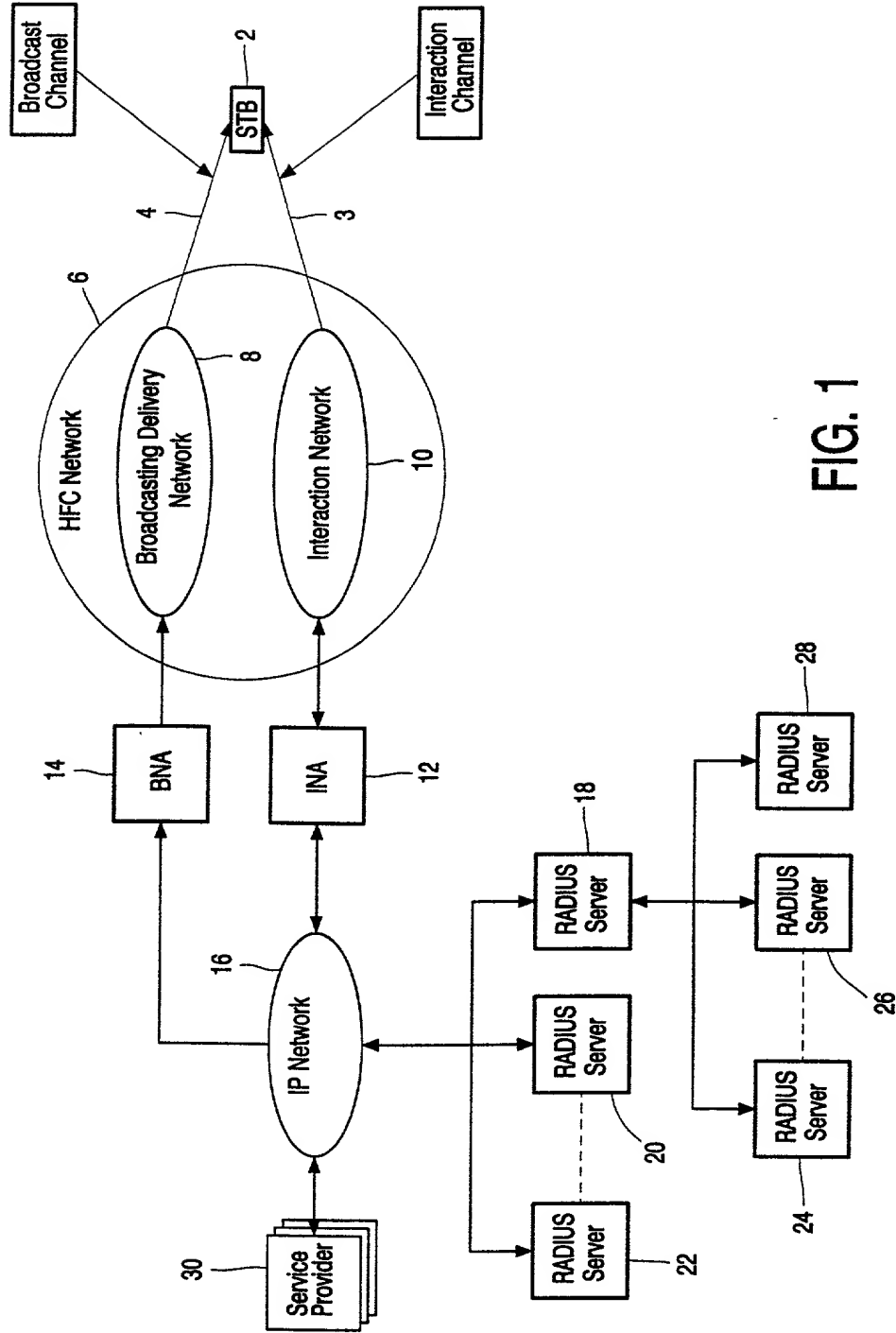


FIG. 1

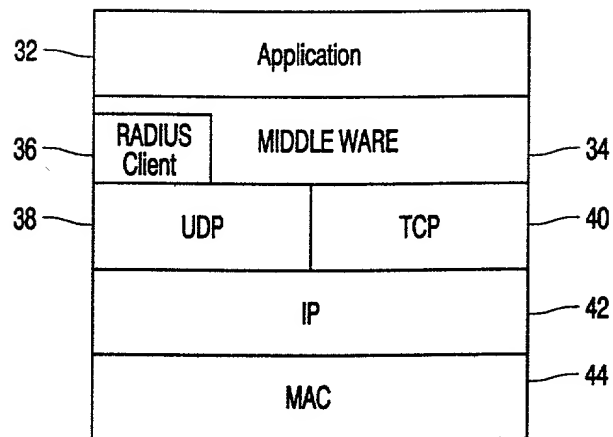


FIG. 2

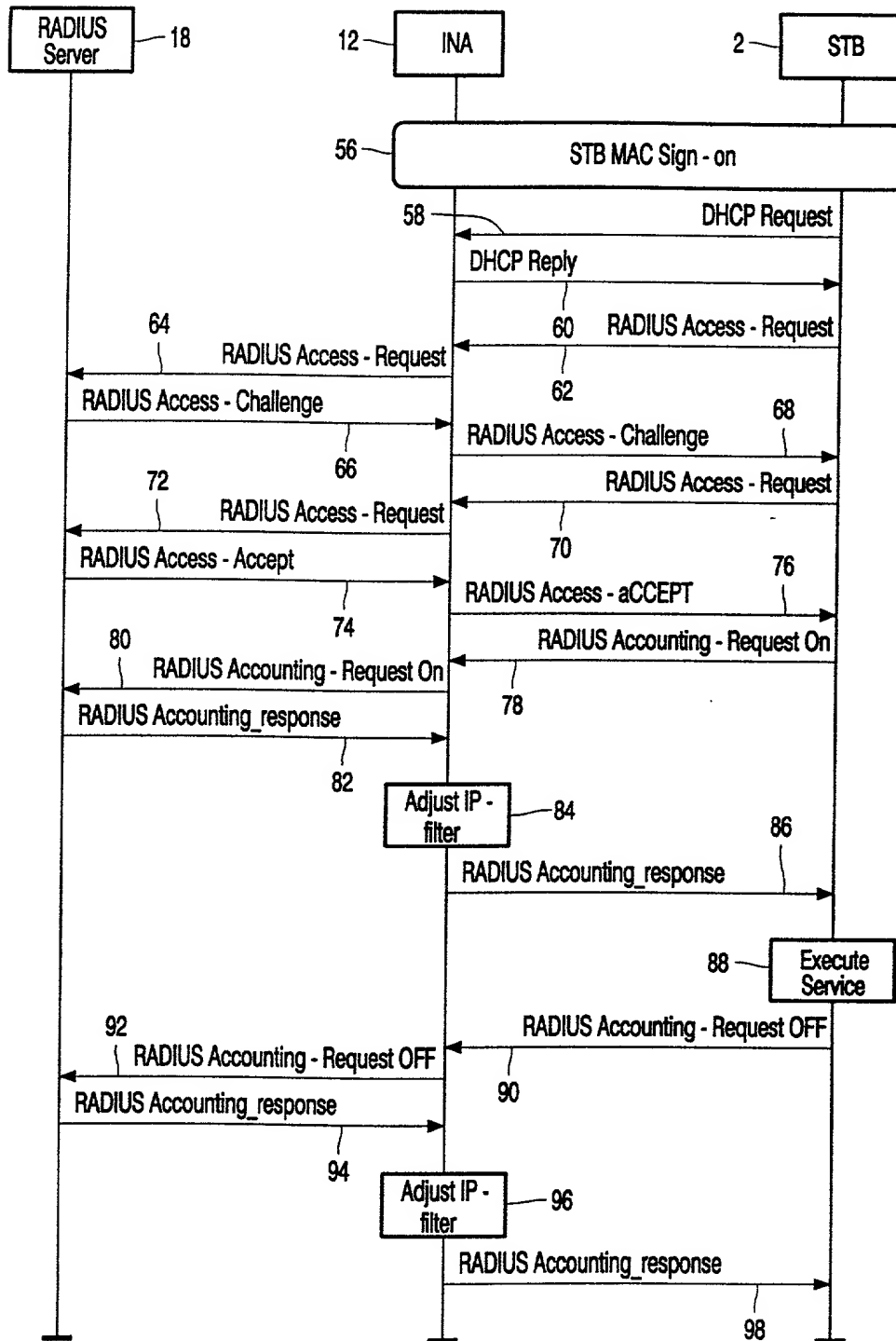


FIG. 3

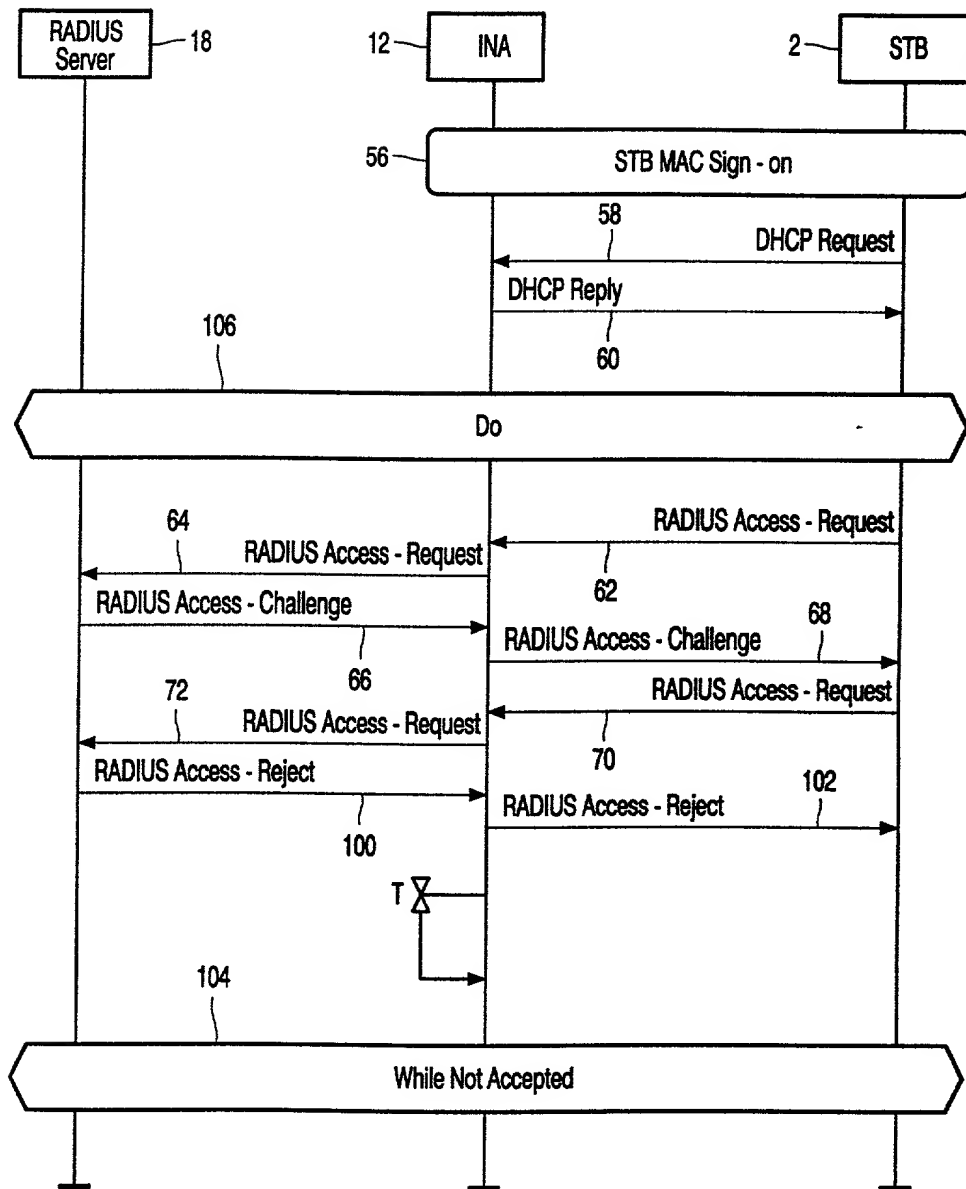


FIG. 4

DECLARATION and POWER OF ATTORNEY

ATTORNEY'S DOCKET NO.:
PHN 17.166

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled

"Broadcast network with interactive services"

the specification of which (check one)

☐ is attached hereto.

☐ was filed on _____ as Application Serial No. _____ and was amended on _____ (if applicable).

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by the amendment(s) referred to above.

I acknowledge the duty to disclose information which is material to patentability of this application in accordance with Title 37, Code of Federal Regulations, §1.56(a).

I hereby claim foreign priority benefits under Title 35, United States Code, § 119 of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed:

PRIOR FOREIGN APPLICATION(S)

COUNTRY	APP. NUMBER	DATE OF FILING (DATE, MONTH, YEAR)	PRIORITY CLAIMED UNDER 35 U.S.C. 119
Europe	98203638.6	27 October 1998	YES

I hereby claim the benefit under Title 35, United States Code, §120 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35 United States Code, §112, I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, §1.56(a) which occurred between the filing date of the prior application and the national or PCT international filing date of this application:

PRIOR UNITED STATES APPLICATION(S)

APPLICATION SERIAL NUMBER	FILING DATE	STATUS (PATENTED, PENDING, ABANDONED)

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

POWER OF ATTORNEY: As a named inventor, I hereby appoint the following attorney(s) and/or agent(s) to prosecute this application and transact all business in the Patent and Trademark Office connected therewith. (list name and registration number)

Algy Tamoshunas, Reg. No. 27,677
Jack E. Haken, Reg. No. 26,902

SEND CORRESPONDENCE TO: Corporate Patent Counsel; U.S. Philips Corporation; 580 white Plains Road; Tarrytown, NY 10591	DIRECT TELEPHONE CALLS TO: (name and telephone No.) (914) 332-0222
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